

Exam Note

- Code generation is not on Exam #1
- Code generation is on Exam #2



Huge One-Slide Summary

- Assembly language is untyped, unstructured, low-level and imperative. In a loadstore architecture, instructions operate on registers (which are like global variables). The stack pointer is a special-purpose register.
- We can generate code by targeting a stack machine and using assembly instructions
 to implement the stack. The stack holds intermediate values, temporaries, and
 function arguments. The accumulator register (conceptually, the top of the stack)
 holds the result of the last computation. As an invariant, the stack is unchanged by
 intermediate calculations.
- We will maintain a stack discipline (or calling convention). Each function call is represented on the stack by an activation record (or stack frame). The activation record contains the frame pointer, the parameters, the self object pointer, the return address, and space for temporaries. The code you generate for function calls and function bodies must consistently agree on the calling convention.
- Our object layout choice must support using a subtype whenever a supertype is expected. Objects are contiguous blocks of memory that hold bookkeeping information (e.g., type tags, method pointers) as well as space for fields.
 Subobjects will extend (be bigger than in memory) their superobjects and will share a common prefix.
- A dispatch table (or virtual function table or vtable) is an array of pointers to methods. Each object points to its vtable, and members of a class share one vtable. This allows us to implement dynamic dispatch: method invocation is resolved by looking up the method address in the object's vtable at runtime.

(Two Day) Lecture Outline

- Stack machines
 - e.g., Java Virtual Machine
- The COOL-ASM assembly language
 - Similar to Java Bytecode, MIPS, RISC, etc.
- A simple source language
- Stack-machine implementation of the simple language
- An optimization: stack-allocated variables
- Object Oriented Code Generation
 - Object Layout, Dynamic Dispatch

Stack Machines

- A simple evaluation model
- No variables or registers
- A stack of values for intermediate results

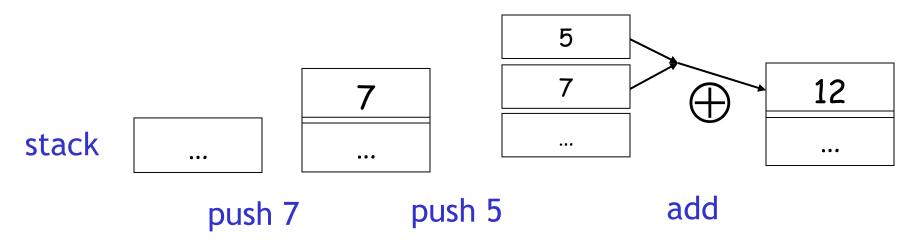


Example Stack Machine Program

- Consider two instructions
 - push i place the integer i on top of the stack
 - add pop two elements, add them and put
 the result back on the stack
- A program to compute 7 + 5:

```
push 7push 5add
```

Stack Machine Example



Each instruction:

- Takes its operands from the top of the stack
- Removes those operands from the stack
- Computes the required operation on them
- Pushes the result on the stack

Why Use a Stack Machine?

 Each operation takes operands from the same place and puts results in the same place

This means a uniform compilation scheme

- And therefore a simpler compiler
 - This is how many/most compilers get started
 - Optimizing compilers are more complicated

Why Use a Stack Machine?

- Location of the operands is implicit
 - Always on the top of the stack
- No need to specify operands explicitly
- No need to specify the location of the result
- Instruction "add" as opposed to "add r₁, r₂"
 - ⇒ Smaller encoding of instructions
 - ⇒ More compact programs (= faster: why?)
- This is one reason why Java Bytecodes use a stack evaluation model

Optimizing the Stack Machine

- The add instruction does 3 memory operations
 - Two reads and one write to the stack
 - The top of the stack is frequently accessed
- Idea: keep the top of the stack in a register (called the accumulator)
 - This should remind you of Fold
 - Register accesses are faster
- The "add" instruction is now

acc ← acc + top_of_stack

- Only one memory operation!

Fear my course organization! :-)

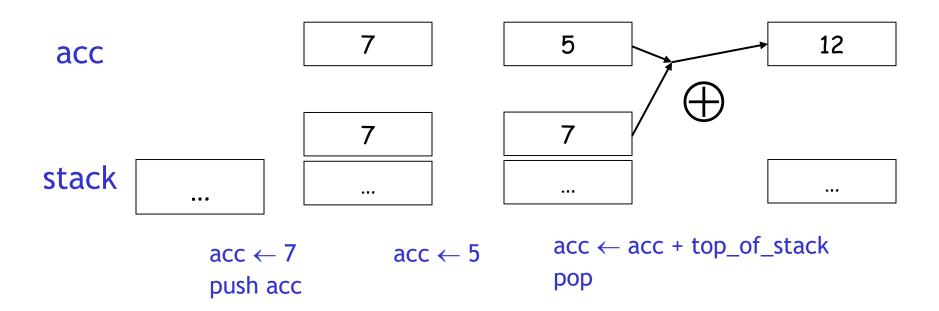
Accumulator Invariants

- The result of computing an expression is always in the accumulator
- For an operation $op(e_1,...,e_n)$ **push** the accumulator on the stack after computing each of $e_1,...,e_{n-1}$
 - e_n's result is in the accumulator before op
 - After the operation pop n-1 values
- After computing an expression the stack is as before

Example on next slide!

Stack Machine with Accumulator: Example

• Compute 7 + 5 using an accumulator

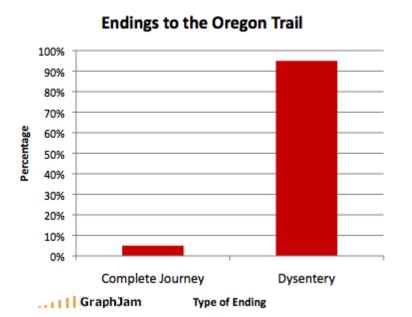


A Bigger Example: 3 + (7 + 5)

Code	Acc	Stack
acc ← 3	3	<init></init>
push acc	3	3, <init></init>
acc ← 7	7	3, <init></init>
push acc	7	7, 3, <init></init>
acc ← 5	5	7, 3, <init></init>
acc ← acc + top_of_stack	12	7, 3, <init></init>
pop	12	3, <init></init>
acc ← acc + top_of_stack	15	3, <init></init>
pop	15	<init></init>

Notes

- It is critical that the stack is preserved across the evaluation of a subexpression
 - Stack before evaluating 7 + 5 is 3, <init>
 - Stack after evaluating 7 + 5 is 3, <init>
 - The first operand is on top of the stack



Risky Business

- Two high-level CPU architectures
- Complex Instruction Set Computers (CISC)
 - Architecture in which single instructions can execute several low-level operations (such as a load from memory, an arithmetic operation, and a memory store) "all at once"
- Reduced Instruction Set Computers (RISC)
 - Architecture in which each instruction performs only one function (e.g., copy a value from memory to a register), hopefully efficiently

Example: Apple "M" Series

A family of 64-bit ARM-based system-on-chips (SoCs) from Apple. In late 2020, Apple began switching its MacBook line from Intel x86 CPUs to the M1, the first chip in Apple's M series (see MacBook). In the following year, an M1-based iMac debuted, and a new top-end desktop computer was engineered around the M1 (see Mac Studio). In 2021, iPads began switching from Apple A chips to M. See SoC.

CISC to RISC and RISC to RISC

The ARM-based M series is a RISC design rather than Intel's x86 CISC architecture. RISC circuits use less complex instructions, run cooler and thus save battery, which is why an ARM chip is used in every smartphone as well as all Apple and Android tablets. Since day one, iPhones and iPads have been ARM based (see Apple A series).

In 2021, starting with the iPad Pro, Apple began the migration from its A series to the M series (RISC to RISC). Future iPhones are likely to use the M chips as well. See RISC, CISC and Intel Mac.

- PC Magazine

From Stack Machines to RISC

- Our compiler will generate code for a stack machine with accumulator
- We want to run the resulting code on a processor
- We'll implement stack machine instructions using COOL-ASM instructions and registers

North Cottesloe Beach

Water

Thus: Assembly Language

Assembling The Team

- COOL-ASM is a RISC-style assembly language
 - An untyped, unsafe, low-level, fast programming language with few-to-no primitives.
- A register is a fast-access untyped global variable shared by the entire assembly program.
 - COOL-ASM: 8 general registers and 3 special ones (stack pointer, frame pointer, return address)
- An instruction is a primitive statement in assembly language that operates on registers.
 - COOL-ASM: add, jmp, ld, push, ...
- A **load-store** architecture: bring values in to registers from memory to operate on them.

Drink Your Cool-Aid

- Sample COOL-ASM instructions:
 - See the CRM for all of them ...

```
add r2 <- r5 r2
```

my_label:

push r1

sub r1 <- r1 1

bnz r1 my_label

$$; r2 = r5 + r2$$

$$; r5 = 183$$

;
$$r2 = *(r1+5)$$

$$; *(r1+6) = r7$$



;
$$*sp = r1; sp --;$$

; if (r1 != 0) goto my_label



Simulating a Stack Machine...

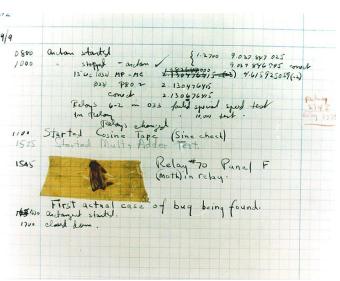
- The accumulator is kept in register r1
 - This is just a convention. You could pick r2.
- The stack is kept in memory
- The stack grows towards lower addresses
 - Standard architecture convention (MIPS)
- The address of the next unused location on the stack is kept in register sp
 - The top of the stack is at address sp + 1
 - COOL-ASM "Word Size" = 1 = # of memory cells taken up by one integer/pointer/string

Trivia: CS History

 This operating system was first developed in the 1970's by Dennis Ritchie, Ken Thompson, and others at Bell Labs. It supported multiple users and multiple tasks running on the same machine (often the 16-bit PDP 11 available from DEC). Innovations include the "everything is a file" philosophy, pipelines and filters of small utility processes, and shell scripting of workflows. It was the first portable OS, developed almost entirely in C.

Trivia: Computer Scientists

 This US Navy Rear Admiral is credited with inventing the first compiler for a computer programming language, as well as popularizing machine-independent languages. The term "debugging" also originates here:



Cool Assembly Example

• The stack-machine code for 7 + 5:

```
acc <- 7

push acc

sw sp[0] <- r1

sub sp <- sp 1

acc <- 5

acc <- acc + top_of_stack

lw r2 <- sp[1]

add r1 <- r1 r2

pop
```

We now generalize this to a simple language...

Stack Instructions

We have these COOL-ASM instructions:

push rX

$$st sp[0] <- rX$$

sub sp <- sp 1

pop rX

ld rX <- sp[1]

add sp <- sp 1

; Note:

rX <- top

ld rX <- sp[1]

A Small Language

A source language with integers and integer operations

 $P \rightarrow D$; $P \mid D$ $D \rightarrow def id(ARGS) = E$; $ARGS \rightarrow id$, $ARGS \mid id$



$$E \rightarrow int \mid id \mid if E_1 = E_2 then E_3 else E_4$$

 $\mid E_1 + E_2 \mid E_1 - E_2 \mid id(E_1,...,E_n)$

A Small Language (Cont.)

- The first function definition f is the "main" routine
- Running the program on input i means computing f(i)
- Program for computing the Fibonacci numbers:

```
def fib(x) = if x = 1 then 0 else

if x = 2 then 1 else

fib(x - 1) + fib(x - 2)
```

Code Generation Strategy

- For each expression e we generate COOL-ASM code that:
 - Computes the value of e in r1 (accumulator)
 - Preserves sp and the contents of the stack

 We define a code generation function cgen(e) whose result is the code generated for e

Code Generation for Constants

 The code to evaluate a constant simply copies it into the accumulator:

cgen(123) = li r1 123

Note that this also preserves the stack, as

required



Code Generation: Add

```
cgen(e_1 + e_2) =
                 cgen(e₁)
t1 is some
                 push r1
 unused
                 cgen(e<sub>2</sub>)
"temporary"
  register
                 ;; e2 now in r1
                 pop t1
                 add r1 t1 r1
```

Possible optimization: Put the result of e₁
 directly in register t1?

Code Generation Mistake

Unsafe Optimization: put the result of e₁ directly in t1?

```
cgen(e<sub>1</sub> + e<sub>2</sub>) =
    cgen(e<sub>1</sub>)
    mov t1 <- r1
    cgen(e<sub>2</sub>)
    add r1 <- t1 r1</pre>
```



• Try to generate code for : 3 + (7 + 5)

Code Generation Notes

- The code for + is a template with "holes" for code for evaluating e₁ and e₂
- Stack-machine code generation is recursive
- Code for e₁ + e₂ consists of code for e₁ and
 e₂ glued together
- Code generation can be written as a recursive-descent tree walk of the AST
 - At least for expressions

Code Generation: Sub

- New instruction: sub reg₁ <- reg₂ reg₃
 - Implements reg₁ ← reg₂ reg₃

```
cgen(e<sub>1</sub> - e<sub>2</sub>) =
cgen(e<sub>1</sub>)

push r1
cgen(e<sub>2</sub>)

pop t1
sub r1 <- t1 r1
```



Code Generation: If

We need flow control instructions

- New instruction: beq reg₁ reg₂ label
 - Conditional Branch to label if reg₁ = reg₂

- New instruction: jmp label
 - Unconditional Jump to label

Code Generation for If (Cont.)

```
cgen(if e_1 = e_2 then e_3 else e_4) =
 cgen(e<sub>1</sub>)
 push r1
 cgen(e<sub>2</sub>)
 pop t1
 beq r1 t1 true_branch ;; else fall through
 cgen(e₄)
 jmp end_if
true_branch:
 cgen(e<sub>3</sub>)
end_if:
```

Trivia: Beatles & Skyrim

• This lonely 1966 hit from the album Revolver features a double string quartet arrangement, making it distinct from standard popular music at the time. The eponymous character wears a "face that she keeps in a jar by the door".

 Name the two main military factions in Tamriel, one of which suppresses religious freedom (banning the worship of Talos as per the White Gold Concordant surrender treaty to the Altmer) and one of which is quite racist (see the Grey Quarter of Windhelm and "Skyrim belongs to the Nords!") and secessionist.

The Activation Record

- An activation record (or stack frame)
 stores calling context information on the
 stack during a function call.
- Code for function calls/definitions depends on the layout of the activation record
- A very simple AR suffices for this language:
 - The result is always in the accumulator
 - No need to store the result in the AR
 - The activation record holds actual parameters
 - For $f(x_1,...,x_n)$ push $x_1,...,x_n$ on the stack
 - These are the only variables in this language

Calling Convention

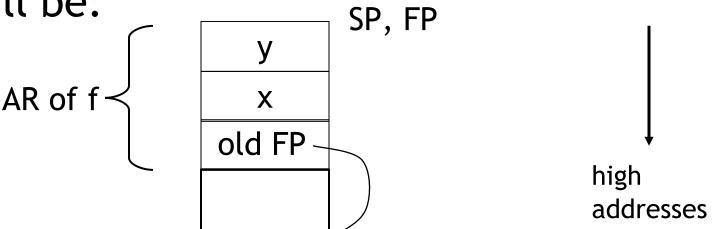
- This calling convention (or stack discipline) guarantees that on function exit sp is the same as it was on entry
 - No need to save sp
- We need the return address
- It's handy to have a pointer to start of the current activation
 - This pointer lives in register fp (frame pointer)
 - Reason for frame pointer will be clear shortly

The Activation Record

 Summary: For this language, an AR with the caller's frame pointer, the actual parameters, and the return address suffices

• Picture: Consider a call to f(x,y). The AR

will be:



Code Generation: Function Call

- The calling sequence is the instructions (of both caller and callee) to set up a function invocation
- New instruction: call label
 - Jump to label, save address of next instruction in ra
 - On other architectures the return address is stored on the stack by the "call" instruction
 - (This is also called "branch and link".)

Code Generation: Function Call

```
cgen(f(e_1,...,e_n)) =
   push fp
   cgen(e₁)
   push r1
   cgen(e<sub>n</sub>)
   push r1
   call f_entry
   pop fp
```

- The caller saves its value of the frame pointer
- Then it saves the actual arguments in order
- The caller saves the return address in register
- The AR so far is n+1 bytes long
- Caller restores fp

Code Generation: Function Def

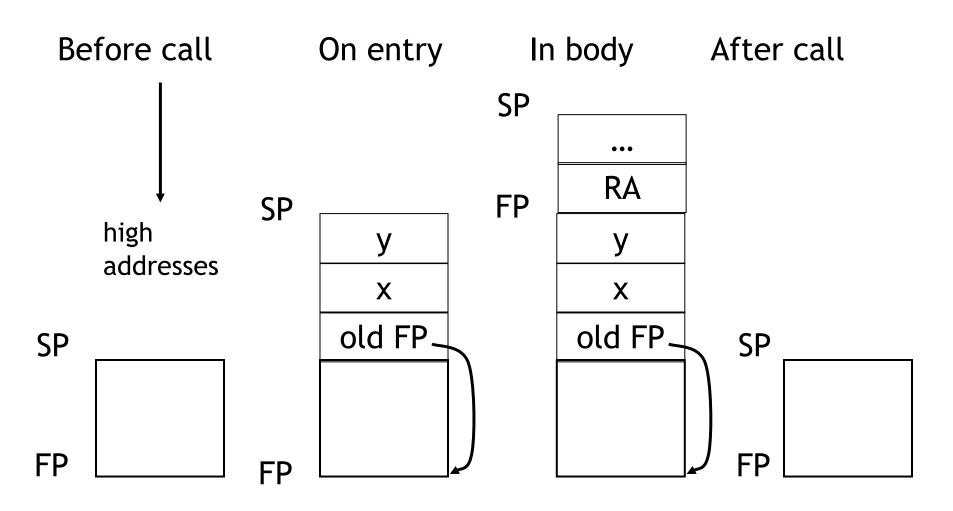
- New instruction: return
 - Jump to address in register ra

```
cgen(def f(x<sub>1</sub>,...,x<sub>n</sub>) = e) =
f_entry:
    mov fp <- sp
    push ra
    cgen(e)
    ra <- top
    add sp <- sp z
    return</pre>
```

- Note: The frame pointer points to the top, not bottom of the frame
- The callee pops the return address, the actual arguments and the saved value of the frame pointer

•
$$z = n + 2$$
 (so far)

Calling Sequence: f(x,y)



Code Generation: Variables

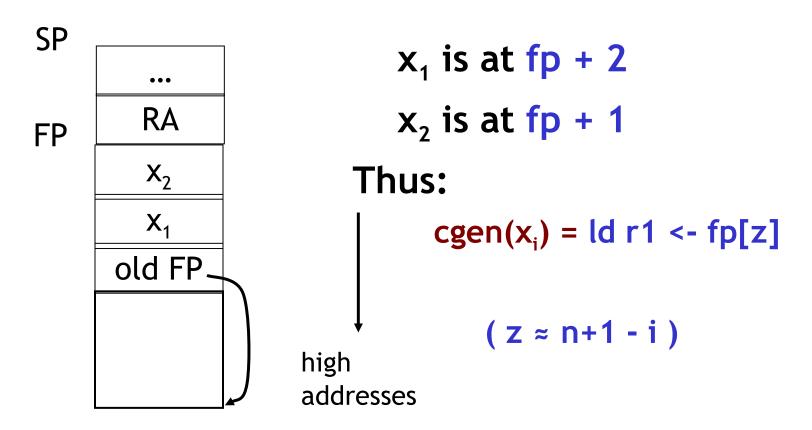
- Variable references are the last construct
- The "variables" of a function are just its parameters
 - They are all in the AR
 - Pushed by the caller
- Problem: Because the stack grows when intermediate results are saved, the variables are not at a fixed offset from sp
 - Challenge question: what are they at a fixed offset from?

Code Generation: Variables

- Solution: use the frame pointer
 - Always points to the return address on the stack (= the value of sp on function entry)
 - Since it does not move it can be used to find arguments stored on the stack
- Let x_i be the ith (i = 1,...,n) formal parameter of the function for which code is being generated

Code Generation: Variables

• Example: For a function $def f(x_1,x_2) = e$ the activation and frame pointer are set up as follows:



Summary

 The activation record must be designed together with the code generator

 Code generation can be done by recursive traversal of the AST

• If you write a compiler, we recommend starting with a stack machine (simpler!)

More Information

- use cool --asm hello-worl.cl for examples
- Production compilers do different things
 - Emphasis is on keeping values (esp. current stack frame) in registers
 - Intermediate results are laid out in the AR, not pushed and popped from the stack

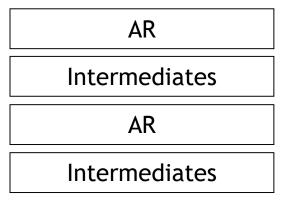


Optimization: Allocating Temporaries in the Activation Record



Review

 The stack machine code layout we've described so far has activation records and intermediate results interleaved on the stack



Stack Machine Implications

- Advantage: Very simple code generation
- Disadvantage: Very slow code
 - Storing and loading temporaries requires a store/load and sp adjustment



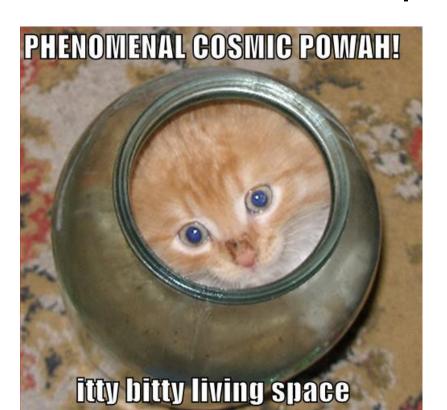






A Better Way

- Idea: Keep temporaries in the AR
- Work: The code generator must assign space in the AR for each temporary



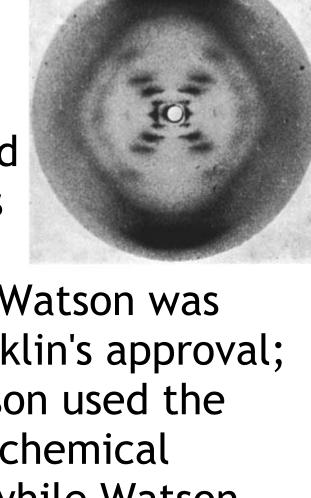
Example

```
def fib(x) = if x = 1 then 0 else
if x = 2 then 1 else
fib(x - 1) + fib(x - 2)
```

- We must determine:
 - What intermediate values are placed on the stack?
 - How many slots are needed in the AR to hold these values?

Trivia: Physics

 Rosalind Franklin and Raymond Gosling's famous "Photo 51" is an X-ray diffraction image of



the structure of what? James Watson was shown the photo without Franklin's approval; along with Francis Crick, Watson used the photo to develop a particular chemical model. Franklin died in 1958 while Watson and Crick were awarded a Nobel Prize in Physiology or Medicine in 1962.

How Many Temporaries?

Let NT(e) = # of temps needed to eval e

• Example: $NT(e_1 + e_2)$

```
cgen(e1)
mov temp <- r1
cgen(e2)
add r1 <- r1 temp
```

- Needs at least as many temporaries as NT(e₁)
- Needs at least as many temporaries as NT(e₂) + 1
- Space used for temporaries in e₁ can be reused for temporaries in e₂

The NumTemps Equations

```
NT(e_1 + e_2) = max(NT(e_1), 1 + NT(e_2))
NT(e_1 - e_2) = max(NT(e_1), 1 + NT(e_2))
NT(if e_1 = e_2 then e_3 else e_4)
         = max(NT(e_1), 1 + NT(e_2), NT(e_3), NT(e_4))
NT(id(e_1,...,e_n) = max(NT(e_1),...,NT(e_n))
NT(int)
                 = 0
NT(id)
                  = 0
Is this bottom-up or top-down? (you tell me)
What is NT(...code for fib...)?
```

The Revised AR

- For a function definition $f(x_1,...,x_n) = e$ the AR has n + NT(e) + 2 elements (so far)
 - n arguments
 - NT(e) locations for intermediate results
 - Return address
 - Frame pointer



Stack Frame Picture $f(x_1, ..., x_n) = e$

SP	
	Temp NT(e)
	• • •
FP	Temp 1
	RA
	X _n
	• • •
	X ₁
	Old FP

high addresses

Revised Code Generation

 Code generation must know how many temporaries are in use at each point

 Add a new argument to code generation: the position of the next available temporary

cgen(e, n): generate code for e and usetemporaries whose address is(fp - n) or lower

Code Generation for +

```
cgen(e_1 + e_2) = cgen(e_1 + e_2, nt) =
cgen(e_1) cgen(e_1, nt)
push r1 st fp[-nt] <- r1
cgen(e_2) cgen(e_2, nt+1)
pop temp ld temp <- fp[-nt]
add r1 <- r1 temp
```

Where are the savings?

Hint: "push" is more expensive than it looks.

Notes

- The temporary area is used like a small, fixed-size stack
- Exercise: Write out cgen for other constructs
- Hint: on function entry, you'll have to increment something by NT(e)
 - ... and on function exit, decrement it ...

Code Generation for Object-Oriented Languages



Object Layout

- OO implementation =
 - Stuff from before + More stuff

• Liskov Substitution Principle: If B is a subclass of A, then an object of class B can be used wherever an object of class A is expected

 This means that code in class A must work unmodified on an object of class B

Two Issues

- How are objects represented in memory?
- How is dynamic dispatch implemented?



Object Layout (Cont.)

 An object is like a struct in C. The reference foo.field is an index into a foo struct at an offset corresponding to field

- Objects in Cool are implemented similarly
 - Objects are laid out in contiguous memory
 - Each attribute stored at a fixed offset in object
 - When a method is invoked, the object becomes self and the fields are the object's attributes

Cool Object Layout

 The first 3 words of Cool objects contain header information:

Offset

Class Type Tag	0
Object Size	1
Dispatch / Vtable Ptr	2
Attribute 1	3
Attribute 2	4
• • •	

(This is a convention that we made up, but it is similar to how Java and C++ lay things out. For example, you could swap #1 and #2 without loss.)

Cool Object Layout

- Class tag (or "type tag") is a raw integer
 - Identifies class of the object (Int=1, Bool=2, ...)
- Object size is an integer
 - Size of the object in words
- Dispatch pointer (or "vtable pointer") is a pointer to a table of methods
 - More later
- Attributes are laid out in subsequent slots
- The layout is contiguous

Object Layout Example

```
Class A {
   a: Int <- 0;
   d: Int <- 1;
   f(): Int { a <- a + d };
                                     Class C inherits A {
};
                                        c: Int <- 3;
                                        h(): Int { a <- a * c };
Class B inherits A {
                                     };
   b: Int <- 2;
   f(): Int { a }; // Override
   g(): Int { a <- a - b };
};
```

Object Layout (Cont.)

Attributes a and d are inherited by classes
 B and C

All methods in all classes refer to a

 For A methods to work correctly in A, B, and C objects, attribute a must be in the same "place" in each object

Subclass Layout

```
Observation: Given a layout for class A, a
  layout for subclass B can be defined by
extending the layout of A with additional
  slots for the additional attributes of B
    (i.e., append new fields at bottom)
   Leaves the layout of A unchanged
            (B is an extension)
```

Object Layout Picture

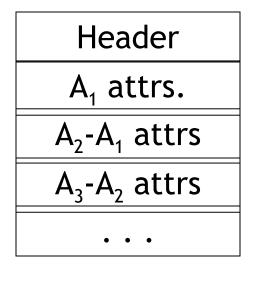
```
Class A {
   a: Int <- 0;
   d: Int <- 1;
   f(): Int { a <- a + d };
};
Class B inherits A {
   b: Int <- 2;
  f(): Int { a }; // Override
   g(): Int { a <- a - b };
};
```

Class	Α	В	С
Offset			
O (tag)	Atag	Btag	Ctag
1 (size)	5	6	6
2 (vtable)	*	*	*
3 (attr#1)	а	а	α
4	d	d	d
5		Ь	С

```
Class C inherits A {
    c: Int <- 3;
    h(): Int { a <- a * c };
};</pre>
```

Subclasses (Cont.)

- The offset for an attribute is the same in a class and all of its subclasses
 - This choice allows any method for an A_1 to be used on a subclass A_2
- Consider layout for $A_n \leq ... \leq A_3 \leq A_2 \leq A_1$



 A_1 object A_2 object

Extra Credit: What about multiple inheritance?

Dynamic Dispatch

Consider f and g:

```
Class A {
    a: Int <- 0;
    d: Int <- 1;
    f(): Int { a <- a + d };
};
```



```
Class B inherits A {
    b: Int <- 2;
    f(): Int { a }; // Override
    g(): Int { a <- a - b };
};</pre>
```

```
Class C inherits A {
    c: Int <- 3;
    h(): Int { a <- a * c };
};</pre>
```

Dynamic Dispatch Example

- e.g()
 - g refers to method in B if e is a B
- e.f()
 - f refers to method in A if f is an A or C
 (inherited in the case of C)
 - f refers to method in B for a B object

 The implementation of methods and dynamic dispatch strongly resembles the implementation of attributes

Dispatch Tables

 Every class has a fixed set of methods (including inherited methods)

- A dispatch table (or virtual function table or vtable) indexes these methods
 - A vtable is an array of method entry points
 - (Thus, a vtable is an array of function pointers.)
 - A method f lives at a fixed offset in the dispatch table for a class and all of its subclasses

Dispatch Table Example

Class	A	В	С
Offset			
0	f_A	f_B	f_A
1		9	h

- The dispatch table for class A has only 1 method
- The tables for B and C extend the table for A with more methods
- Because methods can be overridden, the method for f is not the same in every class, but is always at the same offset
 - (i.e., offset 0 here)

Using Dispatch Tables

- The dispatch pointer in an object of class X points to the dispatch table for class X
 - i.e., all objects of class X share one table

- Every method f of class X is assigned an offset O_f in the dispatch table at compile time
 - when generating the assembly code

A Sense of Self

- Every method must know what object is "self"
 - Convention: "self" is passed as the first argument to all methods
- To implement a dynamic dispatch e.f() we
 - Evaluate e, obtaining an object x
 - Find D by reading the dispatch-table field of x
 - Call $D[O_f](x)$
 - D is the dispatch table for x
 - In the call, self is bound to x

Dynamic Dispatch Hint

```
    To reiterate: objexp.mname(arg1)

   - push self
   - push fp
   - cgen(arg1)
   - push r1
                             ; push arg1
   cgen(objexp)
   bz r1 dispatch_on_void_error
   - push r1
                             ; will be "self" for callee
   Id temp <- r1[2]</li>
                             ; temp <- vtable

    Id temp <- temp[X]</li>

                         ; X is offset of mname in vtables
                             ; for objects of typeof(objexp)
   - call temp
     pop fp
```

Homework

- PA3 (Parsing) Due
- RS3 Recommended
- Midterm #1